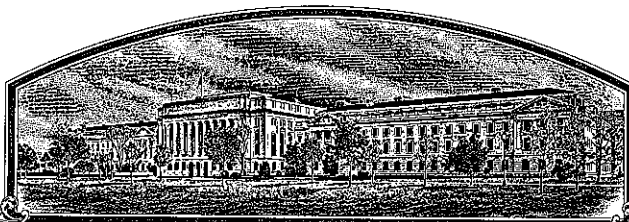


No.

9400127



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

*Northrup King Company*

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED, PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC FURNISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE SAID APPLICANT(S) TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE FOREGOING PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED IN THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

ALFALFA

'Spredor 3'

*In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this thirty-first day of July in the year of our Lord one thousand nine hundred and ninety-five.*

Attest:

Acting Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

Secretary of Agriculture



U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE DIVISION

# APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(INSTRUCTIONS ON REVERSE)

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

|   |  |   |   |
|---|--|---|---|
| 1. NAME OF APPLICANT(S) (as it is to appear on the Certificate)<br><u>Northrup King Co.</u>   |  | 2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO.          | 3. VARIETY NAME<br><u>Spredor 3</u>   |
| 4. ADDRESS (street and no. or R.F.D. no., city, state, and ZIP)<br><u>P.O. Box 959</u><br><u>Minneapolis, MN 55440</u>  |  | 5. PHONE (include area code)<br><u>(612) 593-7333</u> | <p>FOR OFFICIAL USE ONLY</p> <p>VPPO NUMBER<br/><u>9400127</u></p> <p>Filing Date<br/><u>March 21, 1994</u></p> <p>Filing Time<br/><input type="checkbox"/> A.M. <input type="checkbox"/> P.M.</p> <p>Filing and Examination Fee:<br/><u>\$2,325.00</u></p> <p>Certificate Fee:<br/><u>\$275.00</u> <u>\$25.00</u></p> <p>Date<br/><u>June 15, 1995</u></p> |
| 6. GENUS AND SPECIES NAME<br><u>Medicago sativa</u>   | 7. FAMILY NAME (Botanical)<br><u>Leguminosea</u> |   |   |
| 8. CROP KIND NAME (Common Name)<br><u>Alfalfa</u>   | 9. DATE OF DETERMINATION<br><u>October, 1990</u> |   |   |
| 10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.)<br><u>Corporation</u>   |  |   |   |
| 11. IF INCORPORATED, GIVE STATE OF INCORPORATION<br><u>Delaware</u>   |  | 12. DATE OF INCORPORATION<br><u>1976</u>              |   |
| 13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS<br><u>Jan Johnson</u><br><u>Alfalfa Product Manager</u><br><u>Northrup King Co.</u><br><u>P.O. Box 959</u><br><u>Minneapolis, MN 55440</u> |  |   |   |

PHONE (include area code): (612) 593-7261

14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse)

a. ☒ Exhibit A, Origin and Breeding History of the Variety

b. ☒ Exhibit B, Novelty Statement

c. ☒ Exhibit C, Objective Description of Variety

d. ☐ Exhibit D, Additional Description of Variety

e. ☒ Exhibit E, Statement of the Basis of Applicant's Ownership

f. ☒ Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office March 18

g. ☒ Filing and Examination Fee (\$2,325) made payable to "Treasurer of the United States"

15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 83(a) of the Plant Variety Protection Act) ☐ YES (If "YES," answer items 16 and 17 below) ☒ NO (If "NO," skip to item 18 below)

16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? ☒ YES ☒ NO per letter 2/24/95

17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? ☒ FOUNDATION ☐ REGISTERED ☒ CERTIFIED

18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.? ☐ YES (If "YES," through ☐ Plant Variety Protection Act ☐ Patent Act. Give date: \_\_\_\_\_) ☒ NO

19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES? ☒ YES (If "YES," GIVE NAMES OF COUNTRIES AND DATES) USA April 1993 ☐ NO

20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable.

The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act.

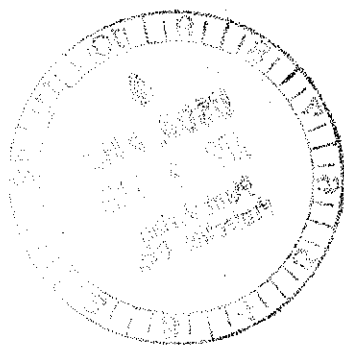
Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.

|   |   |                               |
|---|---|-------------------------------|
| SIGNATURE OF APPLICANT (Owner(s))<br><u>Jan Johnson</u> | CAPACITY OR TITLE<br><u>Product Manager</u> | DATE<br><u>March 16, 1994</u> |
| SIGNATURE OF APPLICANT (Owner(s))                       | CAPACITY OR TITLE                           | DATE                          |

**Spredor 3 PVP Application**  
**Exhibit A (Origin and breeding history)**

Spredor 3 is a synthetic variety with 44 parent plants. Parents were selected from a four year old Iowa nursery for vigor, winter survival and expression of the creeping rooted trait. Parental germplasm traces to multiple pest resistant selections from the variety Spredor 2. Phenotypic selection for resistance to the following diseases was used: bacterial wilt, Verticillium wilt, anthracnose and Phytophthora root rot. Syn1 seed was produced by hand pollination in the greenhouse. An equal quantity of seed was bulked from each parent and used to establish a Syn2 breeder seed production field. Breeder seed (Syn2) was produced near Othello, Washington in 1990.

This variety has been observed in detail from the Syn2 to the Syn4 generations and has been found to be stable and uniform for all key traits.

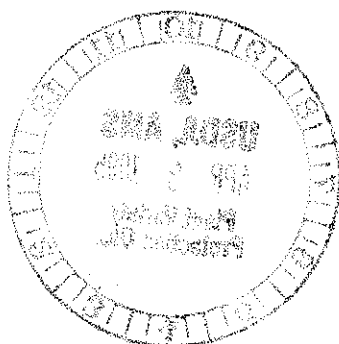


# Spredor 3 PVP Application Exhibit B (Novelty Statement)

This variety is most similar to the cultivar Spredor 2, from which it is derived and the Pioneer variety 5151. It is somewhat similar to the variety Agate. Spredor 3 differs from these cultivars in resistance to anthracnose and Phytophthora root rot. A comparison is shown below:

|           | <u>An (%R)</u> | <u>Prr (%R)</u> |
|-----------|----------------|-----------------|
| Arc       | 59             | --              |
| Spredor 3 | 32             | 18              |
| Spredor 2 | 2              | 2               |
| Agate     | 0              | 35              |
| 5151      | 0              | 5               |
| Saranac   | 0              | 1               |
| LSD (.05) | 9.3            | 8.9             |
| C.V. %    | 19.2           | 17.8            |

The above tests were conducted at Forage Genetics (West Salem, WI) in January and February 1995. Standard test procedures were used.



**Spredor 3 PVP Application**  
**Exhibit B (Novelty statement)**

This variety is most similar to the cultivar Spredor 2, from which it is derived and the Pioneer variety 5151. Spredor 3 differs from these cultivars in resistance to several important alfalfa pests. These differences are summarized below:

|                         | <u>Bw</u> | <u>Fw</u> | <u>Vw</u> | <u>An</u> | <u>Prr</u> |
|-------------------------|-----------|-----------|-----------|-----------|------------|
| <b><u>Spredor 3</u></b> | HR        | HR        | MR        | R         | MR         |
| <b><u>Spredor 2</u></b> | HR        | MR        | S         | S         | S          |
| <b><u>5151</u></b>      | R         | R         | S         | S         | S          |

Bw = bacterial wilt

Fw = Fusarium wilt

Vw = Verticilum wilt

An = anthracnose

Prr = Phytophthora root rot

Spredor 3 differs from other alfalfa varieties based on its very winterhardy rating, very early fall dormancy (FD=1) and high expression (>50%) of the creeping rooted trait.

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
LIVESTOCK AND SEED DIVISION  
PLANT VARIETY PROTECTION OFFICE  
BELTSVILLE, MARYLAND 20705

EXHIBIT C  
(Alfalfa)

OBJECTIVE DESCRIPTION OF VARIETY  
ALFALFA (*Medicago sativa* sensu Gunn et al.)

|   |                       |   |
|---|-----------------------|---|
| NAME OF APPLICANT(S)<br>Northrup King Co.   | TEMPORARY DESIGNATION | VARIETY NAME<br>Spredor 3                       |
| ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code)<br>P.O. Box 959<br>Minneapolis, MN 55440 |                       | FOR OFFICIAL USE ONLY<br>PVPO NUMBER<br>9400127 |

PLEASE READ ALL INSTRUCTIONS CAREFULLY: Place numbers in the boxes to designate the expressions which are characteristic of the commercial generations of the application variety. Data for quantitative plant characters should be based on a minimum of 100 plants. Include leading zeros when necessary (e.g., 0 8 9) for quantitative data. Comparative data should be determined from varieties entered in the same trial. Plant color may be precisely designated by using any recognized color chart, e.g., The Munsell Plant Tissue Color Charts.

## 1. WINTERHARDINESS:

9

CLASS:

- |  |                                      |
|--|--------------------------------------|
| 1 = Very Non-Winterhardy (CUF 101)           | 2 = Non-Winterhardy (Moapa 69)       |
| 3 = Intermediately Non-Winterhardy (Mesilla) | 4 = Semi-Winterhardy (Lahontan)      |
| 5 = (Du Puits)                               | 6 = Moderately Winterhardy (Saranac) |
| 7 = (Ranger)                                 | 8 = Winterhardy (Vernal)             |
| 9 = Extremely Winterhardy (Norseman)         |                                      |

TEST LOCATION: Stanton, MN

## 2. FALL DORMANCY:

## FALL DORMANCY (DETERMINED FROM SPACED PLANTINGS)

| TESTING INSTITUTION<br>AND LOCATION      | DATE OF<br>LAST CUT | DATE REGROWTH<br>SCORED | REGROWTH SCORE OR AVERAGE HEIGHT |                  |        |         | LSD .05 |
|--|---------------------|-------------------------|----------------------------------|------------------|--------|---------|---------|
|  |                     |                         | APPLICATION<br>VARIETY           | CHECK VARIETIES* |        |         |         |
|  |                     |                         |                                  | Norseman         | Vernal | Saranac |         |
| W. Salem, Wisconsin<br>(Forage Genetics) | 9/2/93              | 10/3/93                 | 4.8                              | 4.4              | 5.6    | 9.1     | 0.62    |

\* CUF 101, Moapa 69, Mesilla, Lahontan, Du Puits, Saranac, Ranger, Vernal, or Norseman as appropriate.

Specify scoring system used: inches of re-growth

9

Fall Growth Habit (Determined from Fall Dormancy Trials)

- |                            |                          |                            |
|----------------------------|--------------------------|----------------------------|
| 1 = Erect (CUF 101)        | 3 = Semierect (Mesilla)  | 5 = Intermediate (Saranac) |
| 7 = Semidecumbent (Vernal) | 9 = Decumbent (Norseman) |                            |

## 3. RECOVERY AFTER FIRST SPRING CUT (In Southwest, first cut after March 21):

8

- |                          |                    |                           |                   |
|--------------------------|--------------------|---------------------------|-------------------|
| 1 = Very Fast (CUF 101)  | 3 = Fast (Saranac) | 5 = Intermediate (Ranger) | 7 = Slow (Vernal) |
| 9 = Very Slow (Norseman) |                    |                           |                   |

TEST LOCATION: West Salem, WI

## 4. AREAS OF ADAPTATION IN U.S. (Where tested and proven adapted):

1

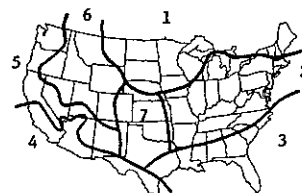
Primary Area of Adaptation

2

6

Other Areas of Adaptation

- |  |                               |                  |               |
|--|-------------------------------|------------------|---------------|
| 1 = North Central                        | 2 = East Central              | 3 = Southeast    | 4 = Southwest |
| 5 = Moderately Winterhardy Intermountain | 6 = Winterhardy Intermountain | 7 = Great Plains |               |
| 8 = Other (Specify) _____                |                               |                  |               |



## 5. FLOWERING DATE (When 10% of plants possess open flowers at time of first spring cut):

Days Earlier Than

Same As

Days Later Than

4

1 = CUF 101

2 = Mesilla

3 = Saranac

4 = Vernal

5 = Norseman

TEST LOCATION: West Salem, WI

6. PLANT COLOR (Determined from healthy regrowth 3 weeks after first spring cut, controlling leafhoppers if necessary):

2

1 = Very Dark Green (524)

2 = Dark Green (Vernal)

3 = Light Green (Ranger)

COLOR CHART VALUE (Specify chart used; \_\_\_\_\_):

APPLICATION VARIETY: \_\_\_\_\_

VERNAL: \_\_\_\_\_

TEST LOCATION: West Salem, WI

7. CROWN TYPE (Determined from spaced plantings):

4

Noncreeping Types:

1 = Broad (Vernal)

2 = Intermediate (Saranac)

3 = Narrow (CUF 101)

Creeping Types:

4 = Creeping Rooted (Rangelander)

5 = Rhizomatous (Rhizoma)

8. FLOWER COLOR (Determine frequency of plants for each color class as defined by USDA Agricultural Handbook No. 424 [Barnes 1972], allowing all plants in plot to flower):

5 9

% Purple and Violet (Subclasses 1.1 to 1.4)

0

% Blue (Subclasses 2.3 and 2.4)

3 7

% Variegated Other Than Blue (Subclasses 2.1, 2.2, 2.5 to 2.9)

4

% Yellow (Subclasses 4.1 to 4.4)

0

% Cream (Class 3)

0

% White (Class 5)

TEST LOCATION: Stanton, MN

9. POD SHAPE (Determine frequency of plants with the following pod shapes produced on well cross-pollinated racemes):

8 5

% Tightly Coiled (One or more coils, center more or less closed)

1 3

% Loosely Coiled (One or more coils, center conspicuously open)

2

% Sickle (Less than 1 coil)

TEST LOCATION: Stanton, MN

10. PEST RESISTANCE: Provide in the appropriate column, trial data for application variety, and resistant (R) and susceptible (S) check varieties, synthetic generation tested, average severity index scores (ASI), least significant difference statistics (LSD .05), the institution in charge of test, year, and location of test, and whether test is a field or laboratory evaluation. Describe scoring system, and any test procedure which differs from standard methods proposed by Elgin (1982). Trial data from other test years or locations should be presented whenever available on a separate document as Exhibit D. Seeds of the check varieties and germplasm lines listed below can be obtained from the USDA Field Crops Laboratory, Bldg. 001, Rm. 335, BARC-West, Beltsville, MD 20705. Although comparisons with check varieties listed below are preferred, comparisons with any appropriate check variety recommended by Elgin (1982) may be presented.

| A. DISEASE RESISTANCE:                                     | DISEASE   | VARIETY | SYN. GEN. TESTED | PERCENT RESISTANT PLANTS | NUMBER OF PLANTS TESTED | ASI  | ASI LSD .05   | INSTITUTION, YEAR, LOCATION, FIELD OR LABORATORY |
|--|---|---------|------------------|--------------------------|-------------------------|------|---------------|--|
| Anthracnose, Race 1<br>( <i>Colletotrichum trifolii</i> )  | Application   |         | 3                | 35                       | 100                     | -    | 12.2<br>(%R)  | Forage Genetics,<br>lab test                     |
|  | <del>ARC</del> (R) Saranac AR   |         | 45               |                          |                         |      |               |  |
|  | Saranac (S)   |         | 0                |                          |                         |      |               |  |
|  | SCORING SYSTEM:<br>% Seedling survival                                |         |                  |                          |                         |      |               |  |
| Anthracnose, Race 2<br>( <i>Collectotrichum trifolii</i> ) | Application   |         |                  |                          |                         |      |               |  |
|  | Saranac AR (R)  |         |                  |                          |                         |      |               |  |
|  | Arc (S)   |         |                  |                          |                         |      |               |  |
|  | SCORING SYSTEM:   |         |                  |                          |                         |      |               |  |
| Bacterial Wilt<br>( <i>Corynebacterium insidiosum</i> )    | Application   |         | 2                | 54                       | 105                     | 1.98 | 0.56<br>(ASI) | NK - Stanton, MN<br>1991 field test              |
|  | Vernal (R)  |         | 42               |                          |                         | 2.30 |               |  |
|  | Narragansett (S)  |         | 0                |                          |                         | 4.13 |               |  |
|  | SCORING SYSTEM:<br>(1-5) 1=no disease, 5=dead plant (1+2) = resistant |         |                  |                          |                         |      |               |  |
| Common Leafspot<br>( <i>Pseudopeziza medicaginis</i> )     | Application   |         |                  |                          |                         |      |               |  |
|  | MSA-CW3AN3 (R)  |         |                  |                          |                         |      |               |  |
|  | Ranger (S)  |         |                  |                          |                         |      |               |  |
|  | SCORING SYSTEM:   |         |                  |                          |                         |      |               |  |

## 10. C. NEMATODE RESISTANCE (Continued):

| NEMATODE   | VARIETY   | SYN. GEN. TESTED | PERCENT RESISTANT PLANTS | NUMBER OF PLANTS TESTED | ASI  | ASI LSD .05   | INSTITUTION, YEAR, LOCATION, FIELD OR LABORATORY |
|--|---|------------------|--------------------------|-------------------------|------|---------------|--|
| Southern Root Knot<br>( <i>Meloidogyne incognita</i> ) | Application   |                  |                          |                         |      |               |  |
|  | Moapa 69 (R)  |                  |                          |                         |      |               |  |
|  | Lahontan (S)  |                  |                          |                         |      |               |  |
|  | SCORING SYSTEM:   |                  |                          |                         |      |               |  |
| Stem Nematode<br>( <i>Ditylenchus dipsaci</i> )        | Application   | 2                | 20                       | 100                     | 3.51 | 0.54<br>(ASI) | NK - Stanton, MN<br>1991 lab test                |
|  | <del>Kalona</del> (R) Vernema   |                  | 65                       |                         | 2.30 |               |  |
|  | Ranger (S)  |                  | 10                       |                         | 3.98 |               |  |
|  | SCORING SYSTEM:<br>(1-5) 1=no symptoms 5=dead plant (1+2) = resistant |                  |                          |                         |      |               |  |
| Other (Specify)  | Application   |                  |                          |                         |      |               |  |
|  | (R)   |                  |                          |                         |      |               |  |
|  | (S)   |                  |                          |                         |      |               |  |
|  | SCORING SYSTEM:   |                  |                          |                         |      |               |  |

## 11. INDICATE THE VARIETY THAT MOST CLOSELY RESEMBLES THE APPLICATION VARIETY FOR EACH OF THE FOLLOWING CHARACTERS:

| CHARACTER              | VARIETY   | CHARACTER                   | VARIETY   |
|------------------------|-----------|-----------------------------|-----------|
| Winterhardiness        | Spredor 2 | Plant Color                 | Vernal    |
| Recovery After 1st Cut | Spredor 2 | Crown Type                  | Spredor 2 |
| Area of Adaptation     | Spredor 2 | Combined Disease Resistance | DK-135    |
| Flowering Date         | Spredor 2 | Combined Insect Resistance  | Thor      |

## REFERENCES

Barnes, D.K. 1972. A System for Visually Classifying Alfalfa Flower Color. U.S. Dep. Agric. Handb. 424. 18 pp. (Note: Greenish cast of plate 6, A and B is an artifact of printing, actual colors a blend of yellow and white.)

Elgin, J.H., Jr., (ed.). 1982. Standard Tests to Characterize Pest Resistance in Alfalfa Cultivars. U.S. Dep. Agric. Tech. Bull. (In Press).

Gunn, C.R., W.H. Skrdla, and H.C. Spencer. 1978. Classification of *Medicago sativa* L. using legume characters and flower colors. U.S. Dep. Agric. Tech. Bull. 1574. 84 pp.

Munsell Color Co. 1977. Munsell Plant Tissue Color Charts. Munsell Color Co., Inc. Baltimore.

NOTE: Any additional descriptive information and supporting documentation may be provided as Exhibit D.



**10. A. PEST RESISTANCE (Continued):**

| DISEASE  | VARIETY  | SYN. GEN. TESTED | PERCENT RESISTANT PLANTS | NUMBER OF PLANTS TESTED | ASI  | ASI LSD .05   | INSTITUTION, YEAR, LOCATION, FIELD OR LABORATORY |
|--|--|------------------|--------------------------|-------------------------|------|---------------|--|
| Downy Mildew<br>( <i>Peronospora trifoliorum</i> )<br><br>Isolate, if known:<br><br> | Application  |                  |                          |                         |      |               |  |
|  | Saranac (R)  |                  |                          |                         |      |               |  |
|  | Kanza (S)  |                  |                          |                         |      |               |  |
|  | SCORING SYSTEM:  |                  |                          |                         |      |               |  |
| Fusarium Wilt.<br>( <i>Fusarium oxysporum</i><br>f. <i>medicaginis</i> )             | Application  | 2                | 55                       | 105                     | 2.17 | 1.04<br>(ASI) | NK - Stanton, MN<br>1991 field test              |
|  | Mox 69 (R) Agate   |                  | 54                       |                         | 2.22 |               |  |
|  | Mox 69 (R) MNGN-1  |                  | 6                        |                         | 3.92 |               |  |
|  | SCORING SYSTEM:<br>(1-5) 1=no disease 5=dead plant (1+2) = resistant |                  |                          |                         |      |               |  |
| Phytophthora Root Rot<br>( <i>Phytophthora megasperma</i><br>f. <i>medicaginis</i> ) | Application  | 3                | 19                       | 100                     | -    | 10.6<br>(%R)  | Forage Genetics<br>1993 lab test                 |
|  | Agate (R)  |                  | 43                       |                         |      |               |  |
|  | Saranac (S)  |                  | 0                        |                         |      |               |  |
|  | SCORING SYSTEM:<br>% Seedling survival                               |                  |                          |                         |      |               |  |
| Verticillium Wilt<br>( <i>Verticillium alboatrum</i> )                               | Application  | 2                | 19                       | 100                     | 3.01 | 0.59<br>(ASI) | NK - Stanton, MN<br>1991 lab test                |
|  | Vertus (R)   |                  | 40                       |                         | 2.53 |               |  |
|  | Saranac (S)  |                  | 3                        |                         | 3.98 |               |  |
|  | SCORING SYSTEM:<br>(1-5) 1=no disease 5=dead plant (1+2) = resistant |                  |                          |                         |      |               |  |
| Other (Specify)  | Application  |                  |                          |                         |      |               |  |
|  | (R)  |                  |                          |                         |      |               |  |
|  | (S)  |                  |                          |                         |      |               |  |
|  | SCORING SYSTEM:  |                  |                          |                         |      |               |  |
| Other (Specify)  | Application  |                  |                          |                         |      |               |  |
|  | (R)  |                  |                          |                         |      |               |  |
|  | (S)  |                  |                          |                         |      |               |  |
|  | SCORING SYSTEM:  |                  |                          |                         |      |               |  |

**B. INSECT RESISTANCE:**

| INSECT                                      | VARIETY         | SYN. GEN. TESTED | PERCENT DEFOLIATION | DEFOLIATION IN PERCENT OF RESISTANT CHECK | ASI | ASI LSD .05 | INSTITUTION, YEAR, LOCATION, FIELD OR LABORATORY |
|---|-----------------|------------------|---------------------|---|-----|-------------|--|
| Alfalfa Weevil<br>( <i>Hypera postica</i> ) | Application     |                  |                     |   |     |             |  |
|   | Arc (R)         |                  |                     | 100                                       |     |             |  |
|   | Saranac (S)     |                  |                     |   |     |             |  |
|   | SCORING SYSTEM: |                  |                     |   |     |             |  |

## 10. B. INSECT RESISTANCE (Continued):

| INSECT   | VARIETY                             | SYN. GEN. TESTED | PERCENT SEEDLING SURVIVAL | NUMBER OF SEEDLINGS TESTED | ASI | ASI LSD .05  | INSTITUTION, YEAR, LOCATION, FIELD OR LABORATORY |
|--|-------------------------------------|------------------|---------------------------|----------------------------|-----|--------------|--|
| Blue Alfalfa Aphid<br>( <i>Acyrtosiphon kondoi</i> )   | Application                         |                  |                           |                            |     |              |  |
|  | CUF 101 (R)                         |                  |                           |                            |     |              |  |
|  | PA-1 (S)                            |                  |                           |                            |     |              |  |
|  | SCORING SYSTEM:                     |                  |                           |                            |     |              |  |
| Pea Aphid<br>( <i>Acyrtosiphon pisum</i> )   | Application                         | 2                | 20                        | 100                        |     | 17.5<br>(%R) | NK - Stanton, MN<br>1991 lab test                |
|  | Kanza (R) Baker                     |                  | 45                        |                            |     |              |  |
|  | Ranger (S)                          |                  | 6                         |                            |     |              |  |
|  | SCORING SYSTEM: % Seedling survival |                  |                           |                            |     |              |  |
| Spotted Alfalfa Aphid<br>( <i>Therioaphis maculata</i> )<br><br>Biotype, if known:<br>_____<br>_____ | Application                         |                  |                           |                            |     |              |  |
|  | Kanza (R)                           |                  |                           |                            |     |              |  |
|  | Ranger (S)                          |                  |                           |                            |     |              |  |
|  | SCORING SYSTEM:                     |                  |                           |                            |     |              |  |

| INSECT   | VARIETY         | SYN. GEN. TESTED | PERCENT RESISTANT PLANTS | NUMBER OF PLANTS TESTED | ASI | ASI LSD .05 | INSTITUTION, YEAR, LOCATION, FIELD OR LABORATORY |
|--|-----------------|------------------|--------------------------|-------------------------|-----|-------------|--|
| Potato Leafhopper Yellowing<br>( <i>Empoasca fabae</i> ) | Application     |                  |                          |                         |     |             |  |
|  | MSA-CW3An3 (R)  |                  |                          |                         |     |             |  |
|  | Ranger (S)      |                  |                          |                         |     |             |  |
|  | SCORING SYSTEM: |                  |                          |                         |     |             |  |
| Other (Specify)<br>_____<br>_____<br>_____               | Application     |                  |                          |                         |     |             |  |
|  | (R)             |                  |                          |                         |     |             |  |
|  | (S)             |                  |                          |                         |     |             |  |
|  | SCORING SYSTEM: |                  |                          |                         |     |             |  |

## C. NEMATODE RESISTANCE:

| NEMATODE   | VARIETY          | SYN. GEN. TESTED | PERCENT RESISTANT PLANTS | NUMBER OF PLANTS TESTED | ASI | ASI LSD .05 | INSTITUTION, YEAR, LOCATION, FIELD OR LABORATORY |
|--|------------------|------------------|--------------------------|-------------------------|-----|-------------|--|
| Northern Root Knot<br>( <i>Meloidogyne hapla</i> ) | Application      |                  |                          |                         |     |             |  |
|  | Nev. Syn. XX (R) |                  |                          |                         |     |             |  |
|  | Lahontan (S)     |                  |                          |                         |     |             |  |
|  | SCORING SYSTEM:  |                  |                          |                         |     |             |  |

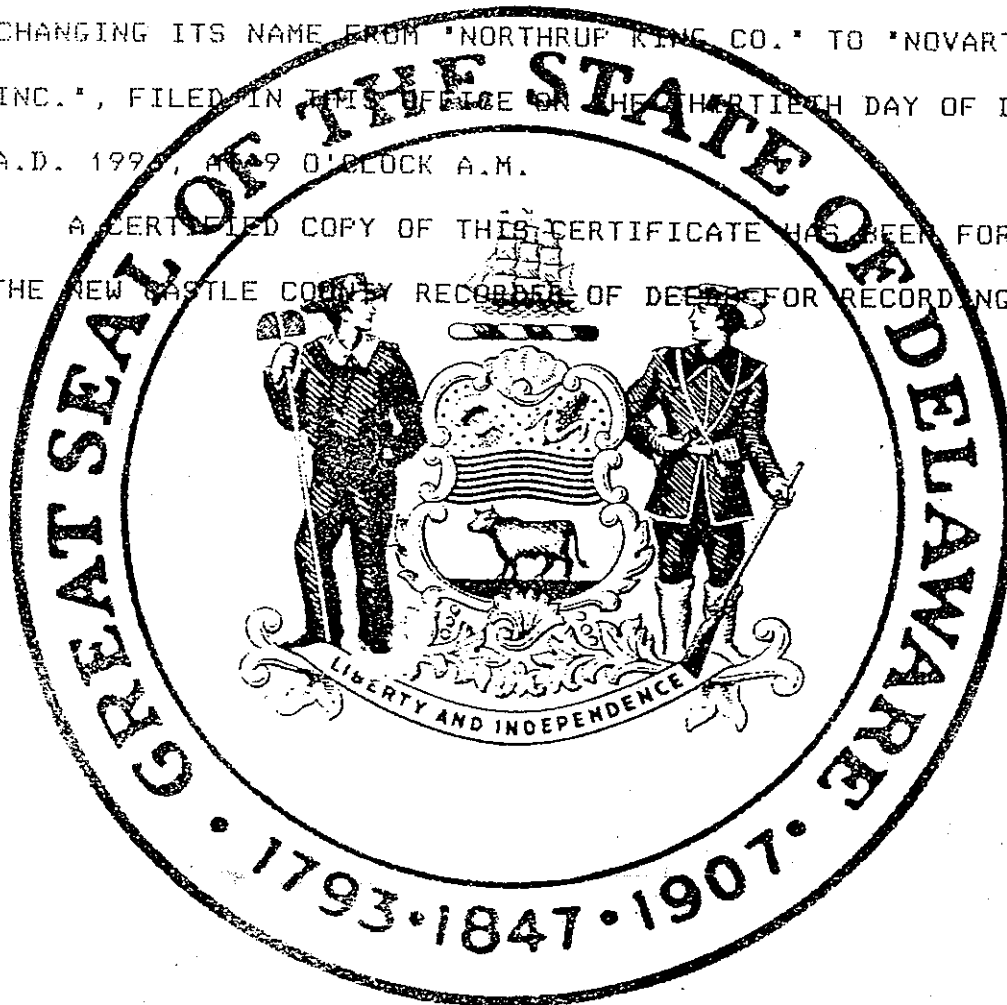
**EXHIBIT E****STATEMENT OF THE BASIS OF OWNERSHIP**

The alfalfa cultivar Spredor 3 was developed by Northrup King Co. alfalfa breeding staff from germplasm sources cited in Exhibit A of the application. Northrup King believes that Spredor 3 is novel as defined in the Plant Variety Protection Act, and therefore that Northrup King is the sole owner of Spredor 3.

Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NORTHRUP KING CO.", CHANGING ITS NAME FROM "NORTHRUP KING CO." TO "NOVARTIS SEEDS, INC.", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF DECEMBER, A.D. 1996, AT 9 O'CLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDS OF DEEDS FOR RECORDING.



*Edward J. Freel*

Edward J. Freel, Secretary of State

0829320 8100

AUTHENTICATION:

8267947

960389892

DATE:

12-31-96

CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION  
OF  
NORTHROP KING CO.

It is certified that:

1. The name of the corporation (hereinafter called the "Corporation") is Northrup King Co.

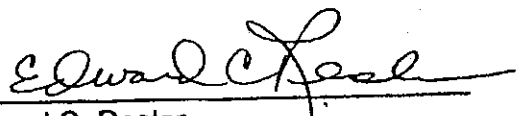
2. The Certificate of Incorporation of the Corporation is hereby amended by striking out Section 1 thereof and by substituting in lieu of said Section the following new Section.

1. The name of the Corporation is Novartis Seeds, Inc.

3. The amendment of the certificate of incorporation herein certified has been duly adopted and written consent has been given in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

4. The effective date of the amendment herein certified shall be January 1, 1997.

Signed on December 27, 1996.

  
Edward C. Resler  
Vice President & Secretary